

London Borough of Sutton Local Validation List

In addition to the National requirements, the Local Validation List clarifies the additional information required to accompany a planning application so that it can be validated. This will then enable the Local Planning Authority (Development Management) to begin its determination of the planning application. The scope and degree of the information required will vary for each application. The London Borough of Sutton asks that you submit applications electronically using the <u>Planning Portal</u>.

Developers and applicants are encouraged to engage in pre-application discussions with the Council before an application is made through the <u>pre-application advice service</u>. The pre-application advice service will assist in tailoring the list of requirements that apply to your development. Please read the Local Validation List carefully before submitting a planning application and please note that not all the information itemised in the local list will be required for every application. This document is divided into four parts as follows:

Part 1 - This is a quick guide for the most common types of applications;

Part 2 - The application types guide will provide details of when specific documents are required based on the proposed scheme.

- Advertisement
- Change of Use
- Householder
- Lawful Development Certificate
- Major (9+ dwellings or 999+sqm floorspace)
- Minor (1-9 dwellings or up to 999sqm of floorspace)

Part 3 - The document guide will detail what is expected for each requirement and offer further help and guidance.

Part 4 - A guide for all other types of application

- Details Reserved by Condition
- Listed Building Consent
- Minor Material Amendment (S73)
- Non-Material Amendment
- Permission in Principle
- Prior Approval
- Works to Protected Trees

If the relevant information contained within the Local List is not provided with your submission, the processing of your application will be delayed and the application will be made invalid.

Please note that if your application is found to be invalid on submission, an additional administration fee will be charged and you will be given 28 days in which to make the required changes to the application and pay the administration charge. Failure to either make the required changes to the application, or to pay the administration charge could result in your application being withdrawn.

Validation Quick Guide

\checkmark	Required	See guidance no

See guidance note for requirements

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Generally not required

	<u>Major</u>	<u>Minor</u>	Change of Use	<u>Householder</u>	Advertisement	<u>Listed Building</u> (Extend/Alter)	<u>Listed Building</u> <u>Demolish</u>	Demolition in Conservation Area	<u>Lawful</u> <u>Development</u> <u>Certificate</u>
Forms & Fees									
Application Form	\checkmark	√	✓	√	√	✓	√	√	√
CIL Additional Information Form 1	\checkmark	√	√	√	(X)	√	(X)	\otimes	√
Application Fee	✓	✓	✓	✓	✓	✓	✓	√	✓
Administration Fee	?	?	?	?	?	?	?	?	?
Plans & Photos									
Block Plan (1:500)	?	?	?	?	?	?	?	?	?
Elevation Plans - Existing & Proposed (appropriately scaled)	√	√	?	?	√	?	?	?	?
Floor Plans - Existing & Proposed (appropriately scaled)	√	√	√	√	(X)	√	✓	√	√
Photos	√	✓	✓	✓	✓	✓	✓	✓	✓
Roof Plans - Existing & Proposed (appropriately scaled)	?	?	?	?	×	?	?	?	?
Section Plans - Existing & Proposed (appropriately scaled)	?	?	?	?	(X)	?	?	?	?
Site Location Plan (1:1250)	√	√	√	√	√	√	✓	✓	√

Streetscene Plans - Existing & Proposed (appropriately scaled)	?	?	?	?	(X)	?	?	?	(X)
Window Section Plans - Existing & Proposed	?	?	?	?	(X)	?	(X)	(X)	?
Supporting Documents									
Acoustic and Vibration - Impact Assessment	?	?	?	(X)	(X)	(X)	(X)	(X)	×
Air Quality Assessment (AQA) and Air Quality Neutral Assessment (AQNA)	\checkmark	※	※	⊗	(X)	(X)	※	※	※
Archaeological Desk Based Assessment	?	?	(X)	?	(X)	?	?	?	×
Basement Impact Assessment	?	?	8	?	(X)	?	?	?	×
BREEAM[1] Pre- Assessment Report or BREEAM Interim (Design Stage) Certificate	?	※	※	※	(X)	(X)	※	※	×
Construction Logistics Plan (CLP) - Highway Considerations	√	(X)	×						
Construction Management Plan (CMP) - Environmental Health Considerations	\checkmark	(X)	(X)	(X)	(X)	8	(X)	(X)	×
Contaminated Land Assessment	?	?	?	?	(X)	?	(X)	(X)	(X)
Daylight and Sunlight Assessment	√	8	(X)	8	(X)	(X)	(X)	8	×
Delivery and Servicing Management Plan	✓	(X)	(X)	(X)	(X)	8	(X)	(X)	(X)
Demolition Method Statement	?	?	(X)	(X)	(X)	(X)	✓	(X)	(X)

Design and Access Statement (DAS)	✓	?	(X)	(X)	(X)	✓	✓	※	(X)
Drainage Assessment Form (DAF)	√	(X)							
Ecological assessment/ Biodiversity Net Gain Assessment	✓	?	(X)	?	(X)	?	✓	√	(X)
Energy Statement	✓	?	(X)						
Environmental Impact Assessment (EIA)	?	(X)							
Financial Viability Assessment (FVA) and Affordable Housing Statement	?	(X)	8	8	(X)	8	8	(X)	×
Fire Safety Strategy (Part A)	(X)	✓	✓	✓	(X)	✓	(X)	⊗	(X)
Fire Statement (Part B)	✓	?	(X)	(X)	(X)	(X)	(X)	※	(X)
Heritage Statement	?	?	?	?	?	✓	✓	√	(X)
Landscaping Scheme	✓	?	(X)						
Lighting Assessment	?	?	?	?	?	?	(X)	※	(X)
Parking Management Plan / Strategy	✓	(X)	(X)	(X)	(X)	(X)	(X)	※	(X)
Planning Statement	✓	(X)	(X)	(X)	(X)	(X)	(X)	※	(X)
Retail Impact Assessment	?	(X)	(X)	(X)	(X)	(X)	(X)	※	(X)

Schedule of proposed changes	?	?	(X)	(X)	(X)	(X)	(X)	(X)	※
Site specific Flood Risk Assessment (FRA)	?	?	?	?	(X)	?	?	?	※
Skills and Employment Strategy	✓	※	(X)	※	(X)	(X)	(X)	(X)	※
Statement of Community Engagement	✓	(X)	(X)	(X)	(X)	(X)	(X)	×	(X)
SUDS (Sustainable Urban Drainage System) Strategy	✓	?	(X)	(X)	(X)	(X)	×	×	(X)
Telecommunication Report	?	?	×	(X)	(X)	(X)	(X)	×	×
Transport Assessment (TA)	√	×	×	(X)	(X)	(X)	(X)	(X)	×
Travel Plan (TP)	√	×	×	(X)	(X)	(X)	(X)	×	×
Tree survey, Arboricultural Impact Assessment (AIA) and Arboricultural Method Statement (AMS)	?	?	※	?	※	?	?	?	※
Waste Management, Storage and Collection Strategy	✓	?	?	(X)	(X)	(X)	×	×	(X)
	<u>Major</u>	Minor	Change of Use	<u>Householder</u>	Advertisement	Listed Building (Extend/Alter)	Listed Building Demolish	Demolition in Conservation Area	<u>Lawful</u> <u>Development</u> <u>Certificate</u>

Application Type Guide

Advertisement - Click to start your application

To be used for advert applications.

Forms & Fees	Requirement	Tips
Application Form	Mandatory	
Application Fee*	Mandatory	You must submit supporting documents if applying for an exemption
		*Plus admin fee due for using the Planning Portal
Administration Fee	Mandatory if an administration fee is charged	
Plans & Photos	Requirement	Tips
Block Plan (1:500)	Mandatory if the proposed work extends beyond the current external walls or a new outbuilding is proposed	
Elevation Plans - Existing & Proposed (appropriately scaled)	Mandatory	You must submit elevations from all sides where the new elements are visible.
<u>Photos</u>	Mandatory	Photos should show all sides of the application property.
Site Location Plan (1:1250)	Mandatory	The application site must be edged in red, and the plan must include a north point and should show the site in relation to adjoining properties and the nearest road junctions.
Supporting Documents	Requirement	Tips
Heritage Statement	Mandatory if the works are within a Conservation Area or the property is a Listed or Locally Listed Building	You can check constraints on our <u>Local Plan Policies Map</u>
<u>Lighting Assessment</u>	Mandatory if the application involves new or replacement external spotlights or floodlights	Please note this does not include internally lit adverts or shopfronts

Change of Use - Click to start your application

To be used for changing the use of a property (except for a change to residential), before applying you should read the Planning Portal's <u>Use Class Guide</u> to gauge whether Planning Permission, Lawful Development or Prior Approval is more appropriate.

Forms & Fees	Requirement	Tips
Application Form	Mandatory	
CIL Additional Information Form 1	Mandatory	CIL Additional Information Form 1
Application Fee*Fee*	Mandatory	You must submit supporting documents if applying for an exemption
		*Plus admin fee due for using the Planning Portal
Administration Fee	Mandatory if an administration fee is charged	
Plans & Photos	Requirement	Tips
Block Plan (1:500)	Mandatory if the proposed work extends beyond the current external walls or a new outbuilding is proposed	
Elevation Plans - Existing & Proposed (appropriately scaled)	Mandatory if any of the proposed work is visible externally	You must submit elevations from all sides where the new elements are visible.
Floor Plans - Existing & Proposed (appropriately scaled)	Mandatory	These should show the internal layout existing and proposed
<u>Photos</u>	Mandatory	Photos should show the whole site and all sides of any buildings on site, plus front and rear streetscene photos showing the site and the neighbouring properties
Site Location Plan (1:1250)	Mandatory	The application site must be edged in red, and the plan must include a north point and should show the site in relation to adjoining properties and the nearest road junctions.
Window Section Plans - Existing & Proposed	Mandatory if new windows are proposed on a Listed Building or Locally Listed Building.	
	Mandatory if replacement windows are proposed within a Conservation Area or on a Listed Building or Locally Listed Building	
Supporting Documents	Requirement	Tips
Acoustic and Vibration - Impact Assessment	Mandatory on applications involving new or replacement flues, air conditioning or plant extraction	
	Mandatory on applications proposing a public house/bar or	

	food takeaway	
Contaminated Land Assessment	Mandatory if site is on Contaminated Land	This can be checked by contacting our Environmental Health team
Fire Safety Strategy (Part A)	Mandatory	You must submit either a 'Reasonable Exception Statement' or a Fire Safety Strategy
		A Fire Safety Strategy should indicate;
		 where fire and rescue service pumping appliances can be sited. outside evacuation assembly point
		You must also submit a strategy statement which covers the following points and how they have been considered;
		 Features to reduce risk to life, including fire alarms and passive and active fire safety measures Construction in a manner to minimise risk of fire spread Suitable and convenient means of escape An evacuation strategy Provision of suitable access and equipment for firefighting which is appropriate for the size and use of the development
Fire Statement (Part B)	 Mandatory on works to buildings either 18m in height or 7 or more storeys and contain two or more residential dwellings or educational accommodation. 	A Fire Statement is an independent fire strategy produced by a third party who is suitably qualified. Government advice on Fire Statements
Flood Risk Assessment	 Mandatory if the site is within Flood Zones 2 or 3 and work involves new footings being dug 	You can check constraints on our Local Plan Policies Map
	 Mandatory if the application proposes any new subterranean levels 	
Heritage Statement	 Mandatory if the works are within a Conservation Area or the property is a Listed or Locally Listed Building 	You can check constraints on our <u>Local Plan Policies Map</u>
<u>Lighting Assessment</u>	 Mandatory if the application involves new or replacement external spotlights or floodlights 	Please note this does not include internally lit adverts or shopfronts
Waste Management, Storage and Collection Strategy	Mandatory if new dwellings are being created	Our waste planning guidance document can be downloaded from the council website by visiting https://www.sutton.gov.uk/w/waste-provision-planning-guidance-for-developers-architects-and-managing-agents

Householder Applications - Click to start your application

To be used for residential extensions to single family dwellinghouses.

*Not to be used for flats or maisonettes, please submit a full planning permission

Forms & Fees	Requirement	Tips
Application Form	Mandatory	You should use the Householder Planning form
CIL Additional Information Form 1	Mandatory	CIL Additional Information Form 1
Application Fee*	Mandatory	You must submit supporting documents if applying for an exemption
		*Plus admin fee due for using the Planning Portal
Administration Fee	Mandatory if an administration fee is charged	
Plans & Photos	Requirement	Tips
Block Plan (1:500)	Mandatory if the proposed work extends beyond the current external walls or a new outbuilding is proposed	This must show any new extension elements or buildings proposed, showing the proposed works drawn in relation to the main dwelling house and existing buildings.
Elevation Plans - Existing & Proposed (appropriately scaled)	Mandatory if any of the proposed work is visible externally	You must submit elevations from all sides where the new elements are visible.
Floor Plans - Existing & Proposed (appropriately scaled)	Mandatory	
<u>Photos</u>	Mandatory	Photos should show all sides of the application property, plus front and rear streetscene photos showing the application property and the neighbouring properties
Roof Plans - Existing & Proposed (appropriately scaled)	Mandatory if <u>any</u> new roof element is proposed	Where a ground floor extension is proposed, a first floor plan showing the roof of the proposed extension would be sufficient.
Section Plans - Existing & Proposed (appropriately scaled)	 Mandatory if there is a change in floor heights (eg. loft conversion) 	Existing and proposed sections must include the front and rear garden area, including the level changes in relation to the adjacent properties to the left and right.
	Mandatory when land levels differ significantly across the site	· · ·
Site Location Plan (1:1250)	Mandatory	The application site must be edged in red, and the plan must include a north point and should show the site in relation to adjoining properties and the nearest road junctions.

Streetscene Plans - Existing & Proposed (appropriately scaled)	 Mandatory on applications which propose an additional new storey 	Please note this does not include loft conversions and dormer extensions.
Window Section Plans - Existing & Proposed	 Mandatory if new windows are proposed on a Listed Building or Locally Listed Building. 	
	 Mandatory if replacement windows are proposed within a Conservation Area or on a Listed Building or Locally Listed Building 	
Supporting Documents	Requirement	Tips
Archaeological Desk-based Assessment	 Mandatory if site is within 50m of an Ancient Monument and new or additional footings are required 	You can check constraints on our <u>Local Plan Policies Map</u>
Basement Impact Assessment	 Mandatory if the application proposes any new or extended subterranean levels 	
Contaminated Land Assessment	Mandatory if site is on Contaminated Land	This can be checked by contacting our Environmental Health team
Ecological Assessment/Biodiversity Net Gain Assessment	 Mandatory if converting loft space or other roof alterations within a Site of Nature Conservation Interest (SINC) or a 	You can check constraints on our Local Plan Policies Map
	Green Corridor.	A Preliminary Roost Assessment should be undertaken by a suitably qualified professional
Fire Safety Strategy (Part A)	Mandatory	You must submit either a 'Reasonable Exception Statement' or a Fire Safety Strategy
		A Fire Safety Strategy should indicate;
		 where fire and rescue service pumping appliances can be sited. outside evacuation assembly point
		You must also submit a strategy statement which covers the following points and how they have been considered;
		 Features to reduce risk to life, including fire alarms and passive and active fire safety measures Construction in a manner to minimise risk of fire spread Suitable and convenient means of escape An evacuation strategy Provision of suitable access and equipment for firefighting which is appropriate for the size and use of the development
Flood Risk Assessment	 Mandatory if the site is within Flood Zones 2 or 3 and work involves new footings being dug 	You can check constraints on our Local Plan Policies Map
	 Mandatory if the application proposes any new subterranean levels 	
Heritage Statement	 Mandatory if the works are within a Conservation Area or the property is a Listed or Locally Listed Building 	You can check constraints on our Local Plan Policies Map

Tree survey, Arboricultural Impact Assessment (AIA) and Arboricultural Method Statement (AMS)	 Mandatory if any trees are <u>within 5m</u> of the proposed works 	For the Local List purposes trees should have a minimum circumference of at least 75mm
	 For trees within 5-15m of the proposed works we require a plan showing the tree location/s marked (you may use the site or block plan) and labelled photos showing each tree* 	*Our Tree Officer may still request a survey depending on the size and species of the trees shown

<u>Lawful Development Certificate - Click to start your application</u>

To see whether your proposal meets Lawful Development regulations please use the Planning Portal's Common Projects guide.

Forms & Fees	Requirement	Tips
Application Form	Mandatory	
CIL Additional Information Form 1	Mandatory	CIL Additional Information Form 1
Application Fee*	Mandatory	You must submit supporting documents if applying for an exemption
		*Plus admin fee due for using the Planning Portal
Administration Fee	Mandatory if an administration fee is charged	
Plans & Photos	Requirement	Tips
Block Plan (1:500)	Mandatory if the proposed work extends beyond the current external walls or a new outbuilding is proposed	This must show any new extension elements or buildings proposed, showing the proposed works drawn in relation to the main dwelling house and existing buildings.
Elevation Plans - Existing & Proposed (appropriately scaled)	Mandatory if any of the proposed work is visible externally	You must submit elevations from all sides where the new elements are visible.
Floor Plans - Existing & Proposed (appropriately scaled)	Mandatory	
Photos	Mandatory	Photos should show all sides of the application property, plus front and rear streetscene photos showing the application property and the neighbouring properties
Roof Plans - Existing & Proposed (appropriately scaled)	Mandatory if <u>any</u> new roof element is proposed	Where a ground floor extension is proposed, a first floor plan showing the roof of the proposed extension would be sufficient.
Section Plans - Existing & Proposed (appropriately scaled)	 Mandatory if there is a change in floor heights (eg. loft conversion) Mandatory when land levels differ significantly across the site 	Existing and proposed sections must include the front and rear garden area, including the level changes and proposed works in relation to the adjacent properties to the left and right.
Site Location Plan (1:1250)	Mandatory	The application site must be edged in red, and the plan must include a north point and should show the site in relation to adjoining properties and the nearest road junctions.

Major Applications - Click to start your application

To be used for;

- Proposals over 9 new residential units
- Proposals over 999sqm of new non-residential floor space
- Site areas over 1ha

Forms & Fees	Requirement	Tips
Application Form	Mandatory	Please use the full Planning Permission form
CIL Additional Information Form 1	Mandatory	CIL Additional Information Form 1
Application Fee*	Mandatory	You must submit supporting documents if applying for an exemption
		Planning Portal Fee Calculator
		*Plus admin fee due for using the Planning Portal
Administration Fee	Mandatory if an administration fee is charged	
Plans & Photos	Requirement	Tips
Block Plan (1:500)	Mandatory if the proposed work extends beyond the current external walls or a new building is proposed	This must show any new extension elements or buildings proposed, showing the proposed works drawn in relation to the main dwelling house and existing buildings
Elevation Plans - Existing & Proposed (appropriately scaled)	Mandatory if any of the proposed work is visible externally	You must submit elevations from all sides where the new elements are visible
Floor Plans - Existing & Proposed (appropriately scaled)	Mandatory	
Photos	Mandatory	Photos should show all sides of the application property, plus front and rear streetscene photos showing the application property and the neighbouring properties
Roof Plans - Existing & Proposed (appropriately scaled)	Mandatory if <u>any</u> new roof element is proposed	Where a ground floor extension is proposed, a first floor plan showing the roof of the proposed extension would be sufficient
Section Plans - Existing & Proposed (appropriately scaled)	 Mandatory if there is a change in floor heights Mandatory when land levels differ significantly across the site 	Existing and proposed sections must include the front and rear garden area, including the level changes in relation to the adjacent properties to the left and right.
Site Location Plan (1:1250)	Mandatory	The application site must be edged in red, and the plan must

		include a north point and should show the site in relation to adjoining properties and the nearest road junctions
Streetscene Plans - Existing & Proposed (appropriately scaled)	 Mandatory on applications proposing a new building, or additional storeys to an existing building fronting a public highway 	These plans should provide a view of the development site showing relative height and land levels of the new development in comparison to neighbouring properties from the public highway
Window Section Plans - Existing & Proposed	 Mandatory if new windows are proposed on a Listed Building or Locally Listed Building. 	
	 Mandatory if replacement windows are proposed within a Conservation Area or on a Listed Building or Locally Listed Building 	
Supporting Documents	Requirement	Tips
Acoustic and Vibration - Impact Assessment	 Mandatory on applications involving new or replacement flues, air conditioning or plant extraction 	
	 Mandatory on applications proposing a public house/bar or food takeaway 	
Air Quality Assessment (AQA) and Air Quality Neutral Assessment (AQNA)	Mandatory	Please note that the whole borough has been declared as an Air Quality Management Area
Archaeological Desk-based Assessment	 Mandatory if work involves the disturbance of ground within an Area of Archaeological Priority 	You can check constraints on our <u>Local Plan Policies Map</u>
Basement Impact Assessment	 Mandatory if the application proposes any new or extended subterranean levels 	
BREEAM[1] Pre- Assessment Report or BREEAM Interim (Design Stage) Certificate	Mandatory except on residential-only schemes	This must be issued by the <u>Building Research Establishment (BRE)</u> or equivalent authorising body
Construction Logistics Plan (CLP) - Highway Considerations	Mandatory	
Construction Management Plan (CMP) - Environmental Health considerations	Mandatory	
Contaminated Land Assessment	Mandatory if site is on Contaminated Land	This can be checked by contacting our Environmental Health team
Daylight and Sunlight Assessment	Mandatory	PLEASE NOTE: The London Borough of Sutton may use an external party to independently assess the submission, the assessment fees shall be paid by the applicant.
Delivery and Servicing Management Plan	Mandatory	
Demolition Method Statement	Mandatory if demolishing a building above 2-storeys	
	 Mandatory if demolishing a Locally or Nationally Listed Building 	
Design & Access Statement	Mandatory if any new dwelling is proposed	
	Mandatory if more than 100sqm of new floor area is	

	proposed	
Drainage Assessment Form (DAF)	Mandatory	Drainage Assessment Form (DAF)
Ecological Assessment/Biodiversity Net Gain Assessment	Mandatory	
Energy Statement	Mandatory	
Environmental Impact Assessment (EIA)	You may be asked to supply an EIA based on the complexity of the proposal	Individual case by case assessment
Financial Viability Assessment (FVA) and Affordable Housing Statement	 Mandatory if proposal includes residential accommodation providing less than 35% Affordable Housing 	PLEASE NOTE: The London Borough of Sutton may use an external party to independently assess the submission, the assessment fees shall be paid by the applicant.
Fire Statement (Part B)	Mandatory	A Fire Statement is an independent fire strategy produced by a third party who is suitably qualified. Government advice on Fire Statements
Flood Risk Assessment	 Mandatory if the site is within Flood Zones 2 or 3 and work involves new footings being dug 	You can check constraints on our Local Plan Policies Map
	 Mandatory if the application proposes any new subterranean levels 	
	Mandatory on developments 1 hectare or more	
Heritage Statement	 Mandatory if the works are located within a Conservation Area or the property is a Listed or Locally Listed Building 	You can check constraints on our Local Plan Policies Map
Landscaping Scheme	Mandatory	
Lighting Assessment	 Mandatory if the application involves new or replacement external spotlights or floodlights 	Please note this does not include internally lit adverts or shopfronts
Parking Management Plan / Strategy	Mandatory	
Planning Statement	Mandatory	The planning statement should explain the principles of and justification for the proposed works.
Retail Impact Assessment	 Mandatory on applications proposing more than 2,499sqm of commercial floorspace outside of the town centre 	PLEASE NOTE: The London Borough of Sutton may use an external party to independently assess the submission, the assessment fees shall be paid by the applicant.
Schedule of proposed changes	 Mandatory if applying for a Non-Material Amendment (NMA) or a Minor Material Amendment (S73) 	
Skills and Employment Strategy	Mandatory	
Statement of Community Engagement	Mandatory	
SUDS (Sustainable Urban Drainage System) Strategy	Mandatory	
Telecommunication Report	 Mandatory if your application includes masts, base stations and cabinets. 	

Adopted 07/08/2023

Transport Assessment (TA)	Mandatory	
Travel Plan (TP)	Mandatory	
Tree survey, Arboricultural Impact Assessment (AIA) and Arboricultural Method Statement (AMS)	 Mandatory if any trees are <u>within 5m</u> of the proposed works For trees <u>within 5-15m</u> of the proposed works we require a plan showing the tree location/s marked (you may use the site or block plan) and labelled photos showing each tree* 	For the Local List purposes trees should have a minimum circumference of at least 75mm *Our Tree Officer may still request a survey depending on the size and species of the trees shown
Waste Management, Storage and Collection Strategy	Mandatory	Our waste planning guidance document can be downloaded from the council website by visiting https://www.sutton.gov.uk/w/waste-provision-planning-guidance-for-developers-architects-and-managing-agents

Minor Applications - Click to start your application

To be used for;

- Works to flats and maisonettes
- Proposals of up to and including 9 new residential units
- Proposals of up to and including 999sqm of new non-residential floor space
- Site areas less than 1ha

Forms & Fees	Requirement	Tips
Application Form	Mandatory	Please use the full Planning Permission form
CIL Additional Information Form 1	Mandatory	CIL Additional Information Form 1
Application Fee*	Mandatory	You must submit supporting documents if applying for an exemption
		Planning Portal Fee Calculator
		*Plus admin fee due for using the Planning Portal
Administration Fee	Mandatory if an administration fee is charged	
Plans & Photos	Requirement	Tips
Block Plan (1:500)	Mandatory if the proposed work extends beyond the current external walls or a new building is proposed	This must show any new extension elements or buildings proposed, showing the proposed works drawn in relation to the main dwelling house and existing buildings
Elevation Plans - Existing & Proposed (appropriately scaled)	Mandatory if any of the proposed work is visible externally	You must submit elevations from all sides where the new elements are visible
Floor Plans - Existing & Proposed (appropriately scaled)	Mandatory	
<u>Photos</u>	Mandatory	Photos should show all sides of the application property, plus front and rear streetscene photos showing the application property and the neighbouring properties
Roof Plans - Existing & Proposed (appropriately scaled)	Mandatory if <u>any</u> new roof element is proposed	Where a ground floor extension is proposed, a first floor plan showing the roof of the proposed extension would be sufficient
Section Plans - Existing & Proposed (appropriately scaled)	 Mandatory if there is a change in floor heights Mandatory when land levels differ significantly across the site 	Existing and proposed sections must include the front and rear garden area, including the level changes in relation to the adjacent properties to the left and right.
Site Location Plan (1:1250)	Mandatory	The application site must be edged in red, and the plan must include a north point and should show the site in relation to

		adjoining properties and the nearest road junctions
Streetscene Plans - Existing & Proposed (appropriately scaled)	 Mandatory on applications proposing a new building, or additional storeys to an existing building fronting a public highway 	These plans should provide a view of the development site showing relative height and land levels of the new development in comparison to neighbouring properties from the public highway
Window Section Plans - Existing & Proposed	Mandatory if new windows are proposed on a Listed Building or Locally Listed Building.	
	 Mandatory if replacement windows are proposed within a Conservation Area or on a Listed Building or Locally Listed Building 	
Supporting Documents	Requirement	Tips
Acoustic and Vibration - Impact Assessment	Mandatory on applications involving new or replacement flues, air conditioning or plant extraction	
	Mandatory on applications proposing a public house/bar or food takeaway	
Archaeological Desk-based Assessment	Mandatory if work involves the disturbance of ground within an Area of Archaeological Priority	You can check constraints on our Local Plan Policies Map
Basement Impact Assessment	 Mandatory if the application proposes any new or extended subterranean levels 	
Contaminated Land Assessment	Mandatory if site is on Contaminated Land	This can be checked by contacting our Environmental Health team
<u>Demolition Method Statement</u>	Mandatory if demolishing a building above 2-storeys	
	 Mandatory if demolishing a Locally or Nationally Listed Building 	
Design & Access Statement	Mandatory if any new dwelling is proposed	
	Mandatory if more than 100sqm of new floor area is proposed	
Ecological Assessment/Biodiversity Net Gain Assessment	Mandatory if site is located within, adjacent or near (within 100m) a Site of Importance for Nature Conservation	You can check constraints on our Local Plan Policies Map
	Mandatory if removing 100m² or more of garden land / vegetation / natural habitat	
	Mandatory if converting loft space or other roof alterations	
Energy Statement	Mandatory if a new dwelling/s will be created	
Fire Safety Strategy (Part A)	Mandatory	You must submit either a 'Reasonable Exception Statement' or a Fire Safety Strategy
		A Fire Safety Strategy should indicate;

		 where fire and rescue service pumping appliances can be sited. outside evacuation assembly point You must also submit a strategy statement which covers the following points and how they have been considered; Features to reduce risk to life, including fire alarms and passive and active fire safety measures Construction in a manner to minimise risk of fire spread Suitable and convenient means of escape An evacuation strategy Provision of suitable access and equipment for firefighting which is appropriate for the size and use of the development
Fire Statement (Part B)	 Mandatory on works to buildings either 18m in height or 7 or more storeys and contain two or more residential dwellings or educational accommodation. 	A Fire Statement is an independent fire strategy produced by a third party who is suitably qualified. Government advice on Fire Statements
Flood Risk Assessment	 Mandatory if the site is within Flood Zones 2 or 3 and work involves new footings being dug Mandatory if the application proposes any new subterranean levels 	You can check constraints on our Local Plan Policies Map
Heritage Statement	Mandatory if the works are located within a Conservation Area or the property is a Listed or Locally Listed Building	You can check constraints on our <u>Local Plan Policies Map</u>
Landscaping Scheme	 Mandatory if removing 100m² or more of garden land / vegetation / natural habitat 	
<u>Lighting Assessment</u>	Mandatory if the application involves new or replacement external spotlights or floodlights	Please note this does not include internally lit adverts or shopfronts
Schedule of proposed changes	 Mandatory if applying for a Non-Material Amendment (NMA) or a Minor Material Amendment (S73) 	
SUDS (Sustainable Urban Drainage System) Strategy	 Mandatory if application involves an increased building footprint Mandatory if use changing from commercial to residential 	
Telecommunication Report	Mandatory if your application includes masts, base stations and cabinets.	
Tree survey, Arboricultural Impact Assessment (AIA) and Arboricultural Method Statement (AMS)	 Mandatory if any trees are <u>within 5m</u> of the proposed works For trees <u>within 5-15m</u> of the proposed works we require a plan showing the tree location/s marked (you may use the site or block plan) and labelled photos showing each tree* 	For the Local List purposes trees should have a minimum circumference of at least 75mm *Our Tree Officer may still request a survey depending on the size and species of the trees shown
Waste Management. Storage and Collection Strategy	Mandatory if a new dwelling/s will be created	Our waste planning guidance document can be downloaded from the council website by visiting https://www.sutton.gov.uk/w/waste-provision-planning-guidance-for-

	<u>developers-architects-and-managing-agents</u>

Document Guide

Forms & Fees	Requirements	Further Advice
Application Form	Choose the relevant application form from the <u>Planning Portal</u> and ensure all sections are completed in full including data required by the GLA planning data standard.	Planning Portal submission guidance Government guidance - Making an application
	Please pay particular attention to the description and ensure all proposed elements are included and the correct Ownership Certificate has been signed.	The Town and Country Planning (Development Management Procedure) (England) Order 2015
CIL Additional Information Form	This must be completed to help assess whether the proposal is liable for the Community Infrastructure Levy.	CIL Additional Information Form 1
Application Fee	Applications will not be validated without the appropriate application fee being received.	Planning fees
	You can use the <u>Planning Portal Calculator</u> to check the fee due.	
	Please note that if you plan on applying an exemption you will need to submit accompanying evidence.	
	We do not accept cheque/cash payments	
Administration Fee	Applications will not be validated without the appropriate administration fee being received.	Charges;
	Administration fee charges can be applied for the following reasons;	£240 - Major applications
	 Each time an application is made invalid If an application is withdrawn after notification that it is invalid (this charge will be deducted from any 	£120 - Minor applications
	refund)	£60 - All other applications
Plans & Photos	Requirements	Further Advice
Block Plan (also known as a Site Plan)	A plan at scale 1:200 or 1:500 showing the direction of north and the proposed development in relation to the site boundaries and other existing buildings in the proximity.	Government guidance - Plans and drawings
	All plans must be accurate and correlate with partner drawings.	All plans must be submitted as separate single page PDF files. Other document types, or multiple page combined PDF files, will not be accepted.
Elevation Plans - Existing & Proposed (appropriately scaled)	These plans should show the full exterior of all sides of the building being altered, including window and door detailing.	Government guidance - Plans and drawings
	Each plan should be;	All plans must be submitted as separate single page PDF files. Other document types, or multiple page combined PDF files, will not be accepted.
	 Scaled appropriately (metric) including the stated scale or scale bar Given a unique drawing number Titled All plans must be accurate and correlate with partner drawings. 	

Floor Plans - Existing & Proposed	These plans should explain the proposal in detail, showing where existing buildings or walls are to be demolished (if applicable) and details of the layout of existing building(s) as well as those for the proposed development. Each plan should be; Scaled appropriately (metric) including the stated scale or scale bar Given a unique drawing number Titled All plans must be accurate and correlate with partner drawings.	Government guidance - Plans and drawings All plans must be submitted as separate single page PDF files. Other document types, or multiple page combined PDF files, will not be accepted.
Location Plan	 An up to date plan at scale 1:1250 or 1:2500 which includes the following: Direction of north A Scale Bar At least two named roads (where possible). All the surrounding buildings, roads and footpaths on land adjoining the site The property and its neighbours are numbered A red line around all land required for the development (including access to the public highway where relevant) A blue line around all other land owned by the applicant close to or adjoining the site All plans must be accurate and correlate with partner drawings. 	Government guidance - Plans and drawings All plans must be submitted as separate single page PDF files. Other document types, or multiple page combined PDF files, will not be accepted.
Photos	Photos should show all sides of the application property, plus front and rear streetscene photos showing the application property and the neighbouring properties.	All submitted documents should be in PDF format (including photographs). Applications will be made invalid for incorrectly formatted plans/documents.
Roof Plans - Existing & Proposed (appropriately scaled)	Showing the shape of the roof and details of the roofing materials and any features such as chimney positions, extensions or windows. Each plan should be; Scaled appropriately (metric) including the stated scale or scale bar Given a unique drawing number Titled All plans must be accurate and correlate with partner drawings.	Government guidance - Plans and drawings All plans must be submitted as separate single page PDF files. Other document types, or multiple page combined PDF files, will not be accepted.
Section Plans - Existing & Proposed (appropriately scaled)	Where a proposal involves changing ground levels, section drawings must show both existing and finished levels including the level changes in relation to the adjacent properties. The sections through a building should include all floors, including the basement, loft space and roof, along with any terraces. Each plan should be; Scaled appropriately (metric) including the stated scale or scale bar Given a unique drawing number Titled All plans must be accurate and correlate with partner drawings.	Government guidance - Plans and drawings All plans must be submitted as separate single page PDF files. Other document types, or multiple page combined PDF files, will not be accepted.

Streetscene Plans - Existing & Proposed (appropriately scaled) Window Section Plans -	These plans should provide a view of the development site showing relative height and land levels of the new development in comparison to neighbouring properties from the public highway. Each plan should be; Scaled appropriately (metric) including the stated scale or scale bar Given a unique drawing number Titled All plans must be accurate and correlate with partner drawings. Where a proposal involves new or replacement windows on a Listed Building or a Locally Listed Building, or	Government guidance - Plans and drawings All plans must be submitted as separate single page PDF files. Other document types, or multiple page combined PDF files, will not be accepted. Government guidance - Plans and drawings
Existing & Proposed	replacement windows within a Conservation Area, section drawings must show the depth of the existing and proposed window and any frame/sill. Each plan should be; Scaled appropriately (metric) including the stated scale or scale bar Given a unique drawing number Titled All plans must be accurate and correlate with partner drawings.	All plans must be submitted as separate single page PDF files. Other document types, or multiple page combined PDF files, will not be accepted.
Supporting Documents	Requirements	Further Advice
Acoustic and Vibration - Impact Assessment	An Impact Assessment will likely be required where there is significant noise use such as food/ drink/ entertainment and leisure uses, industrial uses, day nurseries, places of worship, schools and colleges. An Impact Assessment will also likely be required where a proposal generates a significant amount of traffic and EIA development. Vibration impacts will likely have to be considered on certain types of applications such as those adjacent to railway lines or busy roads and where there are vibration sensitive uses. The Impact Assessment should be carried out by a qualified person and include, among other matters, an assessment of the existing background noise/vibration level over a 24 hour period, predicted noise levels, predicted vibration levels and identification of any mitigation required. The assessment should demonstrate compliance and make reference to relevant British Standards. In addition, for applications for new developments where A3/A4 late night premises are structurally attached or in close proximity to proposed residential properties and live or recorded music (other than background) is likely to form part of the proposed use the applicant should submit an acoustic and vibration report as part of their application. This would apply to applications where new residential properties are being introduced above, adjacent or in close proximity to existing A3/A4/late night premises that currently provide live or recorded music. The report shall demonstrate a sound insulation scheme that ensures that a (1) typical maximum noise levels of the music in each octave band from 63Hz to 4kHz will be no higher than the pre-existing L90 noise level (music off) as measured or calculated in the residential dwelling and (2) there should be no increase in the L90 (music playing) over the background L90 (music off) in any 1/3 octave band between 40Hz-160Hz. Prior to the commencement of development further information should be sought from the Environmental health team through the pre-application process.	The Institute of Acoustics The Association of Noise Consultants Sutton's Environmental Health webpage All submitted documents should be in PDF format (including photographs). Applications will be made invalid for incorrectly formatted plans/documents.
Air Quality Assessment (AQA) and Air Quality Neutral Assessment (AQNA)	The whole of the borough has been declared as an Air Quality Management Area. If the application relates to a major development then an Air Quality Neutral Assessment will be required. The Air Quality Neutral Assessment will need to be prepared in line with the guidance contained within Section 4.3	Sutton's Environmental Health webpage Mayor's Supplementary Planning Guidance on Sustainable Design and Construction
	of the Mayor's Supplementary Planning Guidance on Sustainable Design and Construction.	

		
		Institute of Air Quality Management All submitted documents should be in PDF format (including photographs). Applications will be made invalid for incorrectly formatted plans/documents.
Archaeological Desk Based	An archaeological desktop assessment should contain plans showing historic features, listed buildings &	Greater London Archaeological Advisory Service (GLAAS)
Assessment	structures, historic parks & gardens, historic battlefields & scheduled ancient monuments, an analysis of the significance of archaeological, history & character of building/structure, principles of and justification for proposed work. The assessment should be prepared by a qualified individual or organisation. Small-scale archaeological fieldwork may be required to determine the actual extent and degree of survival on site.	Proposal map of Area of Archaeological Potential All submitted documents should be in PDF format (including photographs). Applications will be made invalid for incorrectly formatted plans/documents.
Basement Impact Assessment	The assessment should include details of; Investigations into groundwater levels Impact on groundwater flows	All submitted documents should be in PDF format (including photographs). Applications will be made invalid for incorrectly formatted plans/documents.
BREEAM[1] Pre- Assessment Report or BREEAM Interim (Design Stage) Certificate	A BREEAM Pre-Assessment Report or BREEAM Interim (Design Stage) Certificate is required for all major non-residential developments in order to demonstrate that an 'Excellent' rating will be achieved in line with Local Plan Policy 31e. This must be issued by the Building Research Establishment (BRE) or equivalent authorising body.	Sutton Local Plan Policy 31 (Carbon and Energy) Building Research Establishment (BRE) website All submitted documents should be in PDF format (including photographs). Applications will be made invalid for incorrectly formatted plans/documents.
Construction Logistics Plan (CLP) - Highway Considerations	The CLP should include details on the following: Scope of works (including measures for traffic management) Details of access arrangements Loading and unloading of plant and materials Storage of plant and materials Provision of boundary hoarding behind any visibility zones of construction traffic routing. Proposed working hours Any Personal Protective Equipment (PPE) required. Means to prevent deposition of mud on the highway Work programme It is recognised that the details provided within the CLP, at the application stage, may be subject to change following the appointment of contractors at the development stage should permission be granted. However, it is good practice to give consideration to construction logistics prior to the submission of an application. The CLP should be in accordance with TfL's 'Construction Logistic Plan Guidance'.	TfL's 'Construction Logistic Plan Guidance' All submitted documents should be in PDF format (including photographs). Applications will be made invalid for incorrectly formatted plans/documents.
Construction Management Plan (CMP) - Environmental Health Considerations	The CMP should include details on the following: Scope of works Provision of boundary hoarding Hours of operation	GLA's Supplementary Planning Guidance 'Control of Dust and Emissions during Demolition and Construction All submitted documents should be in PDF format (including photographs). Applications will be made invalid for incorrectly

	 Means to control dust and emissions to air Means to control noise and vibration Proposed working hours Any Personal Protective Equipment (PPE) required. Work programme It is recognised that the details provided within the CMP, at the application stage, may be subject to change following the appointment of contractors at the development stage should permission be granted. However, it is good practice to give consideration to construction management prior to the submission of an application. The CMP should be in accordance with the GLA's Supplementary Planning Guidance 'Control of Dust and Emissions during Demolition and Construction' and also include measures to deal with protection of trees, 	formatted plans/documents.
Contaminated Land Assessment	biodiversity and contaminated land where relevant. A Contaminated Land Assessment should be carried out by a competent and qualified person in consultation with the council's Environmental Health team. The amount of information required should be sufficient to determine the existence or otherwise of the contamination, the nature of the contamination, risks it may pose, and whether these can be satisfactorily reduced to an acceptable level.	Government guidance - Contamination risk management Government guidance - Land affected by contamination Sutton's Environmental Health webpage on contamination
Daylight and Sunlight Assessment	The report needs to be prepared in line with the adopted British Standard BS 2018 EN17037:2018 – Daylight in buildings. It should identify and examine the impacts upon existing properties, future occupiers and sites with extant planning permissions. PLEASE NOTE: The London Borough of Sutton may use an external party to independently assess the submission, the assessment fees shall be paid by the applicant.	Site Layout Planning for Daylight and Sunlight "A guide to good practice" (BRE) 2011 All submitted documents should be in PDF format (including photographs). Applications will be made invalid for incorrectly formatted plans/documents.
Delivery and Servicing Management Plan	As a minimum the plan should include: The location of loading and unloading The hours of loading and unloading The frequency and size of vehicles Swept paths	All submitted documents should be in PDF format (including photographs). Applications will be made invalid for incorrectly formatted plans/documents.
Demolition Method Statement	The Demolition Method Statement should describe in full the following: Reasons for demolition Scope of works Method and sequence of demolition Details of access arrangements Proposed working hours Any identified hazards Any Personal Protective Equipment (PPE) required. Work programme	GLA's Supplementary Planning Guidance 'Control of Dust and Emissions during Demolition and Construction' Prior Approval - Applications for demolition All submitted documents should be in PDF format (including photographs). Applications will be made invalid for incorrectly formatted plans/documents.
Design and Access Statement (DAS)	The DAS should illustrate the process that has led to the development proposal and explain the proposal in a structured way. It should explain how the proposed development is suitable for the site, its setting and how it meets relevant Planning policies.	CABE - Design and Access Statements: How to write, read and use them Government guidance - Design and access statements All submitted documents should be in PDF format (including photographs). Applications will be made invalid for incorrectly

		formatted plans/documents.
Drainage Assessment Form (DAF)	A <u>Drainage Assessment Form (DAF)</u> is required in order to demonstrate that the Mayor's drainage hierarchy has been followed in seeking to deliver Greenfield run-off rates. The DAF provides a template for the run-off calculations and further details that are required alongside site drawings and other relevant drainage information Please note that although the DAF requests information on other sources of flood risk (such as fluvial, groundwater and sewer flooding), it does not in itself constitute a site specific Flood Risk Assessment (FRA) which should be prepared for all developments as stated above.	Suttons DAF guidance London Sustainable Drainage Action Plan Environment Agency guidance on 'Rainfall runoff management from developments' National non-statutory technical standards for SUDS All submitted documents should be in PDF format (including photographs). Applications will be made invalid for incorrectly formatted plans/documents.
Ecological Assessment/ Biodiversity Net Gain Assessment	As part of the Council's commitment to conserving and promoting wildlife through the Biodiversity Strategy 2020-2025, all planning applications must have consideration to local biodiversity. A suitable ecological appraisal (usually, an EcIA) is required for sites that are likely to have a negative impact on wildlife and habitats. All surveys and impact assessments should be carried out in accordance with Sutton Council's Validation Information: Biodiversity. This includes smaller works, such as roof or loft changes, which may affect bat roosts, which will require at least a Preliminary Roost Assessment (PRA). All major applications will undertake a Biodiversity Net Gain assessment using the Council's adopted calculator and Building a Sustainable Sutton - Technical Guidance Note 'Biodiversity Accounting', with smaller applications undertaking BNG assessments if removing greater than 100m² of natural habitat or vegetation (including garden land), or to demonstrate measurable Net Gains. NOTE: From the end of November 2023, in line with the national mandate for Biodiversity Net Gain, the way Biodiversity Net Gain Assessments are to be undertaken within Sutton is likely to change. Developers and their agents will need to seek advice on the Council's position at this time. Biodiversity Net Gain Assessments should incorporate mainly native landscaping and Green Infrastructure, which will integrate with the Building a Sustainable Sutton - Technical Guidance Note 'Green Space Factor' to provide multi-functional benefits. Sutton requires developments to provide additional features for wildlife, including swift bricks, bat roosts etc. (please see Biodiversity Strategy 2020-2025 for more information), The planning authority has a duty to consider the conservation and promotion of biodiversity in all its duties though the NERC Act 2006 and when determining a planning application; this includes delivery of the Environment Act 2021 and having regard to the safeguard of species protected under the Wildlife and Countrysi	Biodiversity Validation Information Building a Sustainable Future - Technical Guidance Note Government guidance - Protected species Biodiversity Strategy 2020-2025 Contact the Biodiversity Team for help and the BNG calculator All submitted documents should be in PDF format (including photographs). Applications will be made invalid for incorrectly formatted plans/documents.
Energy Statement	An Energy Statement should:	GLA Guidance on Preparing Energy Assessments
	 Demonstrate how the development will minimise CO2 emissions in line with the Mayor's energy hierarchy; 	Sutton Local Plan Policy 31 (Carbon and Energy)
	Demonstrate how the relevant CO2 reduction, fabric energy efficiency and renewable energy targets	NPPG on climate change mitigation and adaptation

	 will be met in line with Local Plan Policy 31; For major developments located within decentralised energy (DE) opportunity areas or within close proximity (500m) to an existing or planned district heating network, demonstrate that the council's 'DE Protocol' in Appendix 10.B of the Local Plan has been applied to ensure that the development is 'future-proofed' to enable future connection to the network; For major residential developments, demonstrate how the council's 'zero carbon' target will be achieved and the sum to be paid into the council's carbon offset fund based on a price of £60 per tonne over 30 years. Suttons 'Technical Guidance Note 1 for Developers on Carbon Offsetting' within the note 'Building a Sustainable Future' provides further details on carbon offsetting. Be accompanied by 'as-designed' Building Regulations Part L outputs prepared under the Standard Assessment Procedure (SAP) or Simplified Building Energy Model (SBEM) as appropriate. 	Building Regulations 2013 Approved Documents L1A, L1B, L2A and L2B London Heat Network Manual Suttons Building a Sustainable Future - Technical Guidance Note All submitted documents should be in PDF format (including photographs). Applications will be made invalid for incorrectly formatted plans/documents.
Environmental Impact Assessment (EIA)	The Environmental Impact Assessment Regulations set out the circumstances in which an EIA or EIA Screening Opinion is required. The need for an EIA shall be determined through a formal Screening Opinion for all Schedule 2 development. An EIA may comprise of other more specific assessments detailed within the local list below. Where an EIA is required, Schedule 4 of the regulations sets out the information that should be included in an Environmental Statement, however, applicants are advised to also complete a Scoping Opinion to fully understand the information requirements. Please note that for applications for non-material amendments (s96a) and minor material amendments (s73) for proposals that were originally EIA development, further details will be required to show that the proposed changes to the scheme have been screened and that the impacts of the development, as altered, have been assessed to determine whether they would give rise to any further or different significant impacts. This will take the form of an addendum to the Environmental Statement outlining the alterations and assessing the impact of these against each of the previous EIA conclusions.	Government guidance - Environmental impact assessments The Town and Country Planning (Environmental Impact Assessment) Regulations 2017 All submitted documents should be in PDF format (including photographs). Applications will be made invalid for incorrectly formatted plans/documents.
Financial Viability Assessment (FVA) and Affordable Housing Statement	The Mayor's current Affordable Housing and Viability SPG August 2017 states that where less than 35% affordable housing is proposed (50% on public land) a full Financial Viability Appraisal (FVA) shall be provided to the Council for independent assessment. It is recommended that the applicant enter into early discussions with the Local Planning Authority (LPA) for advice on affordable housing requirements and housing needs. Details should be provided of the size, number, type, tenure and location of any affordable units proposed. Where a viability model is used the Council should be provided with the full working models and/or all of the assumptions and calculations so these can be independently interrogated and tested. Please note that all submitted FVA's will be published on the Council's planning register in line with the Mayors SPG. As a minimum, the FVA should include the following: Gross Development Value Build Costs (QS Schedule) Land Value (existing use value plus) Residual values (including comparables) Commercial yields /rents (including comparables) Competitive return to developers Professional Fees / Marketing costs	Mayor's Affordable Housing and Viability SPG Policy 8 and 38 of the Sutton Local Plan 2018 All submitted documents should be in PDF format (including photographs). Applications will be made invalid for incorrectly formatted plans/documents.

	- Evidence and handbrooks to augment all values and costs included in the concerns	
	 Evidence and benchmarks to support all values and costs included in the assessment. Justification for all assumptions used in the FVA. A viability assessment model which is capable of full interrogation by the Council and its advisor. Itemised S106 Heads of Terms and Community Infrastructure Levy (CIL) Any capital / grant contributions Build / sale programme Details of any Registered Housing Provider Scenario testing of the deliverability of the development if the FVA shows a deficit PLEASE NOTE: The London Borough of Sutton may use an external party to independently assess the	
	submission, the assessment fees shall be paid by the applicant.	
	PLEASE NOTE: All submitted FVA's will be published on the council's Planning Register in line with the Mayors SPG.	
Fire Safety Strategy (Part A)	In March 2021 the Mayor of London published his Local Plan which now forms part of the Local Plan Framework, policy D12 deals with matters of Fire Safety. In addition to this on 1 August 2021 the NPPG 'Fire Safety and High – Rise Residential Buildings was produced pursuant to the update of the Town and Country Planning (General Management and Procedure and Section 62A Applications) (England) (Amendment) Order 2021. This is referred to as 'Gateway One'; Gateways Two and Three come at a Building Safety Regulator Stage.	All submitted documents should be in PDF format (including photographs). Applications will be made invalid for incorrectly formatted plans/documents.
	Following this you must submit either a 'Reasonable Exception Statement' or a Fire Safety Strategy	
	A Fire Safety Strategy should indicate;	
	 where fire and rescue service pumping appliances can be sited. outside evacuation assembly point 	
	You must also submit a strategy statement which covers the following points and how they have been considered;	
	 Features to reduce risk to life, including fire alarms and passive and active fire safety measures Construction in a manner to minimise risk of fire spread Suitable and convenient means of escape An evacuation strategy Provision of suitable access and equipment for firefighting which is appropriate for the size and use of the development 	
Fire Statement (Part B)	In March 2021 the Mayor of London published his Local Plan which now forms part of the Local Plan Framework, policy D12 deals with matters of Fire Safety. In addition to this on 1 August 2021 the NPPG 'Fire Safety and High – Rise Residential Buildings was produced pursuant to the update of the Town and Country Planning (General Management and Procedure and Section 62A Applications) (England) (Amendment) Order 2021. This is referred to as 'Gateway One'; Gateways Two and Three come at a Building Safety Regulator Stage.	Government advice on Fire Statements All submitted documents should be in PDF format (including photographs). Applications will be made invalid for incorrectly formatted plans/documents.
	Following this you must now submit the following;	
	 A Fire Statement, which is an independent fire strategy produced by a third party who is suitably qualified. 	
Heritage Statement	The National Planning Policy Framework (NPPF) requires applications to describe the significance of any heritage asset affected and any contribution made by their setting. The level of details should be proportionate	NPPF Section 16

		,
	to the importance of the heritage asset, scale of development and be sufficient to understand the potential impact of the proposal on the significance of the heritage asset.	SPD14: Sutton's Urban Design Guide
	A Heritage Statement should be prepared by an appropriate expert where necessary and among other matters should include:	Government guidance - Historic environment Historic England - Planning advice
	 A description of the significance of the heritage asset with reference to the relevant historic record. An explanation of how the proposed development protects/enhances the heritage asset. It should demonstrate how the proposed development responds to the scale, proportions, height, massing, historic building lines, the pattern of historic development, use, design, detailing and materials of the heritage asset. For works to a listed building, a description on how the proposal retains the integrity and significance of the building as a whole, the location and hierarchy of rooms, historic floor levels, the structure of the building including foundations, fabric as well as features such as original staircases, original roof structures and other features identified as being of significance. An explanation of how the proposal seeks good/exceptional design that integrates with and makes a positive contribution to the heritage asset. A description of the impact of the development on the heritage asset. 	All submitted documents should be in PDF format (including photographs). Applications will be made invalid for incorrectly formatted plans/documents.
Landscaping Scheme	You must provide details of the planting of trees and shrubs, surface materials, boundary screen walls and fences. The scheme should describe the; • Materials • Species • Tree and plant sizes, numbers and planting densities • Levels, gradients and any earthworks required • Timing of the implementation of the scheme	All submitted documents should be in PDF format (including photographs). Applications will be made invalid for incorrectly formatted plans/documents.
	It should also include proposals for long-term maintenance and landscape management. Where applicable, this should cross-reference with SUDS Strategy and the Biodiversity Net Gain assessment and a Green Space Factor assessment. Landscaping schemes should be integral to good design and considered at the earliest stage of the design process. The retention of trees and high quality landscaping schemes should be a positive part of the design process.	
Lighting Assessment	The assessment should provide details of the external lighting or floodlighting, including: Hours of operation Light spillage Light levels Column heights Layout plan with beam orientation and light maps Equipment design Impact on nearby dwellings, wildlife, habitat or roads and use of planting to mitigate effect(s)	All submitted documents should be in PDF format (including photographs). Applications will be made invalid for incorrectly formatted plans/documents.
Parking Management Plan / Strategy	 Existing and proposed vehicle parking including provision for accessible parking (cars, motorcycles and cycle spaces). Details (including plans) of the number of car club spaces and electric vehicle charging points (both active and passive) (if none are proposed then this should be stated in the submission) Details of measures to manage their use (i.e. ensuring that informal parking does not take place in any other areas of the site not dedicated as parking spaces) 	All submitted documents should be in PDF format (including photographs). Applications will be made invalid for incorrectly formatted plans/documents.

Planning Statement	 Details of measures to control potential on-street parking Details of monitoring and review Details of Parking Surveys should be submitted if there is significant parking stress in the area (Please note: All fees for the London Borough of Sutton shall be paid by the applicant to independently assess the submitted parking survey) The purpose of a Parking Management Plan is to show how parking is to be managed in order to ensure that a development does not increase on-street car parking and congestion and that any impacts can be appropriately managed. It should also ensure that parking is appropriately allocated within a development to those users who are in most need such as disabled users and family units. The planning statement should explain the principles of and justification for the proposed works. The statement should include information explaining how the proposed development accords with the policies of the development plan and any relevant. Supplementary Planning Documents. It should also include information regarding commercial activities including anticipated staffing levels, site 	All submitted documents should be in PDF format (including photographs). Applications will be made invalid for incorrectly formatted plans/documents.
Retail Impact Assessment	visitors, proposed hours of operation etc. The assessment should include the impact of the proposal on existing, committed and planned public and private investment in a centre or centres in the catchment area of the proposal and the impact of the proposal on town centre vitality and viability, including local consumer choice and trade in the town centre and wider area. PLEASE NOTE: The London Borough of Sutton will use an external party to independently assess the submission, the assessment fees shall be paid by the applicant.	Paragraph 89 of the NPPF All submitted documents should be in PDF format (including photographs). Applications will be made invalid for incorrectly formatted plans/documents.
Schedule of proposed changes	All such applications shall include a schedule of the changes proposed compared to the original application to be varied. Where applicable, revised drawings should also be provided highlighting the changes proposed.	All submitted documents should be in PDF format (including photographs). Applications will be made invalid for incorrectly formatted plans/documents.
Site specific Flood Risk Assessment (FRA) - see also SUDS	A Flood Risk Assessment should identify how a development will be designed to cope with flooding and how the risk will be mitigated without increasing the risk elsewhere. It should identify opportunities to reduce the probability and consequences of flooding. The assessment should be in accordance with the NPPF Technical Guidance, identify and assess the risks of all forms of flooding to and from the development and demonstrate how these flood risks will be managed so that the development remains safe throughout its lifetime, taking climate change into account.	EA online flood map Suttons Strategic Flood Risk Assessment (SFRA) NPPG advice on Flood Risk EA standing advice on completing a flood risk assessment for certain types of development All submitted documents should be in PDF format (including photographs). Applications will be made invalid for incorrectly formatted plans/documents.
Skills and Employment Strategy	The strategy should demonstrate how employment opportunities, skills and training will be provided during and/or after construction for local residents.	Opportunity Sutton Employment and Skills webpage All submitted documents should be in PDF format (including photographs). Applications will be made invalid for incorrectly formatted plans/documents.
Statement of Community Engagement	The Statement of Community Engagement will need to demonstrate how the applicant has complied with Sutton's Statement of Community Involvement and show how the views of the local community have been sought and taken into account in the development proposals.	Suttons Statement of Community Involvement (SCI) All submitted documents should be in PDF format (including

		photographs). Applications will be made invalid for incorrectly formatted plans/documents.
SUDS (Sustainable Urban Drainage System) Strategy (can be provided as part of the site specific FRA where one is produced)	 A SUDS scheme involves the management of surface water run-off and should: Identify all proposed site drainage and flood risk management measures, including SUDS, in order to manage surface water run-off as close to its source as possible in line with the Mayor's drainage hierarchy. Describe how each of the proposed measures will be incorporated as part of the design and layout in order to achieve the council's minimum SUDS performance standards. Include calculations to show that the peak run-off rate for the 1 in 100 year event (plus climate change) will be as close as reasonably practicable to the greenfield run-off rate. Demonstrate that the 1 in 30 year rainfall event (plus climate change) can be contained without flooding and that any flooding occurring between the 1 in 30 and 1 in 100 year event (plus climate change) will be safely contained on site. Provide details of the implementation timetable together with a management and maintenance plan including arrangements for adoption where relevant Designs should be multifunctional to include water quality, amenity and biodiversity benefits Include the design of surface water management systems including Sustainable Urban Drainage Systems (SUDS). All SUDS strategies submitted in support of major residential and non-residential developments must also be accompanied by a Drainage Assessment Form (DAF)	National non-statutory technical standards for SUDS Sutton SUDS Guidance NPPF, Para 167 All submitted documents should be in PDF format (including photographs). Applications will be made invalid for incorrectly formatted plans/documents.
Telecommunication Report	 The telecommunications report should provide the following: Outcome of any consultation with the local community, including nearby schools and colleges Area of any search and sequential test Details of the proposed structure Technical justification and information about the proposed development. For an addition to an existing mast or base station, a statement that self-certifies that the cumulative exposure, when operational, will not exceed International Commission on non-ionising radiation protection guidelines; OR For a new mast or base station, evidence that the applicant has explored the possibility of erecting antennas on an existing building, mast or other structure and a statement that self-certifies that, when operational, International Commission guidelines will be met. 	Electronic Communications Code 2017 Sutton Local Plan Policy 23 All submitted documents should be in PDF format (including photographs). Applications will be made invalid for incorrectly formatted plans/documents.
Transport Assessment (TA)	The coverage and scale of the assessment should reflect the scale of the development and the extent of the transport implications of the proposal. The TA should provide an assessment of the transport and servicing impact of the development and include: • Baseline conditions including PTAL of the site • Existing and proposed vehicular and pedestrian journeys to and from the site by all modes of transport • Description and analysis of existing transport conditions • An analysis on how the proposal will affect the transport conditions • Any mitigation / planning obligations required The Transport Assessment should be in accordance with the guidance from TfL.	Transport Assessment guidance from TfL Government guidance - Travel plans and transport assessments All submitted documents should be in PDF format (including photographs). Applications will be made invalid for incorrectly formatted plans/documents.
Travel Plan (TP)	A Travel Plan is a long-term management strategy for an organisation or site that seeks to identify actions to deliver sustainable transport objectives The TP should outline the measures that will be put in place to improve access to public transport and reduce the need for vehicle parking associated with the proposal.	Travel Plan guidance from TfL Government guidance - Travel plans and transport

	The Travel Plan should be in accordance with the guidance from TfL.	assessments All submitted documents should be in PDF format (including photographs). Applications will be made invalid for incorrectly formatted plans/documents.
Tree survey, Arboricultural Impact Assessment (AIA) and Arboricultural Method Statement (AMS)	The tree survey is an appraisal and assessment of tree quality. The arboricultural impact assessment (AIA) is required to clearly identify impacts to trees and provide solutions to minimise or mitigate for these and must include a tree retention/removal plan. The tree survey and AIA must comply with BS5837:2012 Trees in Relation to Design, Demolition and Construction. If the impacts identified in the AIA require tree protection or special working methods, an arboricultural method statement (AMS) and tree protection plan to BS5837:2012 is also required. The tree survey, AMS and AIA should be undertaken by a qualified arboriculturist.	Government guidance - Trees The Arboricultural Association All submitted documents should be in PDF format (including photographs). Applications will be made invalid for incorrectly formatted plans/documents.
Waste Management, Storage and Collection Strategy	There is a requirement to make appropriate arrangements and/or space for the storage and collection of recycling and refuse on site. These details will need to be included on the plans with a collection and management strategy. Reducing carbon and emissions should also be at the forefront of your thinking when devising such a strategy.	All submitted documents should be in PDF format (including photographs). Applications will be made invalid for incorrectly formatted plans/documents.

Other Applications

Details Reserved by Condition - Click to start your application

To be used to clear conditions attached to a previously granted planning application.

Forms & Fees	Requirement	Tips
Application Form	Mandatory	
Application Fee*	Mandatory	You must submit supporting documents if applying for an exemption
		*Plus admin fee due for using the Planning Portal
Administration Fee	Mandatory if an administration fee is charged	
Plans & Photos	Requirement	Tips
All plans and photos	These should be submitted as appropriate to the condition being cleared.	
Supporting Documents	Requirement	Tips
All supporting documents	These should be submitted as appropriate to the condition being cleared.	

Listed Building Consent - Click to start your application

To be used when making changes to a Listed Building, you can check to see whether the building has been listed on <u>Historic England's website</u>.

Forms & Fees	Requirement	Tips
Application Form	Mandatory	You should use the Householder Planning form
CIL Additional Information Form 1	Mandatory	CIL Additional Information Form 1
Administration Fee	Mandatory if an administration fee is charged	
Plans & Photos	Requirement	Tips
Block Plan (1:500)	Mandatory if the proposed work extends beyond the current external walls or a new outbuilding is proposed	This must show any new extension elements or buildings proposed, showing the proposed works drawn in relation to the main dwelling house and existing buildings.
Elevation Plans - Existing & Proposed (appropriately scaled)	Mandatory if any of the proposed work is visible externally	You must submit elevations from all sides where the new elements are visible.
Floor Plans - Existing & Proposed (appropriately scaled)	Mandatory	
Photos	Mandatory	Photos should show all sides of the application property, plus front and rear streetscene photos showing the application property and the neighbouring properties
Roof Plans - Existing & Proposed (appropriately scaled)	Mandatory if <u>any</u> new roof element is proposed	Where a ground floor extension is proposed, a first floor plan showing the roof of the proposed extension would be sufficient.
Section Plans - Existing & Proposed (appropriately scaled)	 Mandatory if there is a change in floor heights (eg. loft conversion) Mandatory when land levels differ significantly across the site 	Existing and proposed sections must include the front and rear garden area, including the level changes in relation to the adjacent properties to the left and right.
Site Location Plan (1:1250)	Mandatory	The application site must be edged in red, and the plan must include a north point and should show the site in relation to adjoining properties and the nearest road junctions.
Streetscene Plans - Existing & Proposed (appropriately scaled)	Mandatory on applications which propose an additional new floor	Please note this does not include loft conversions and dormer extensions.
Supporting Documents	Requirement	Tips
Archaeological Desk-based Assessment	Mandatory if site is within 50m of an Ancient Monument	You can check constraints on our Local Plan Policies Map
Basement Impact Assessment	Mandatory if the application proposes any new or extended	

	subterranean levels	
Contaminated Land Assessment	Mandatory if site is on Contaminated Land	This can be checked by contacting our Environmental Health team
Demolition Method Statement	Mandatory if demolishing a Locally or Nationally Listed Building	
Ecological Assessment/Biodiversity Net Gain Assessment	Mandatory if converting loft space or other roof alterations	
Fire Safety Strategy (Part A)	Mandatory	You must submit either a 'Reasonable Exception Statement' or a Fire Safety Strategy
		A Fire Safety Strategy should indicate;
		 where fire and rescue service pumping appliances can be sited. outside evacuation assembly point
		You must also submit a strategy statement which covers the following points and how they have been considered;
		 Features to reduce risk to life, including fire alarms and passive and active fire safety measures Construction in a manner to minimise risk of fire spread Suitable and convenient means of escape An evacuation strategy Provision of suitable access and equipment for firefighting which is appropriate for the size and use of the development
Flood Risk Assessment	 Mandatory if the site is within Flood Zones 2 or 3 and work involves new footings being dug 	You can check constraints on our Local Plan Policies Map
	Mandatory if the application proposes any new subterranean levels	
Heritage Statement	Mandatory if the works are within a Conservation Area or the property is a Listed or Locally Listed Building	You can check constraints on our Local Plan Policies Map
Lighting Assessment	Mandatory if the application involves new or replacement external spotlights or floodlights	Please note this does not include internally lit adverts or shopfronts
Tree survey, Arboricultural Impact Assessment (AIA) and Arboricultural Method Statement (AMS)	Mandatory if any trees are <u>within 5m</u> of the proposed works	For the Local List purposes trees should have a minimum circumference of at least 75mm
	 For trees <u>within 5-15m</u> of the proposed works we require a plan showing the tree location/s marked (you may use the site or block plan) and labelled photos showing each tree* 	*Our Tree Officer may still request a survey depending on the size and species of the trees shown

Minor Material Amendment (S73) - Click to start your application

To be used when making amendments to a previously granted planning application, this could include small changes to the footprint of a building etc.

Please note that you cannot amend the red-line site boundary via this application type, you must resubmit a new Planning Application if this is required.

Forms & Fees	Requirement	Tips
Application Form	Mandatory	
CIL Additional Information Form 1	Mandatory if there are proposed changes to the footprint of the building	CIL Additional Information Form 1
Application Fee*	Mandatory	You must submit supporting documents if applying for an exemption
		*Plus admin fee due for using the Planning Portal
Administration Fee	Mandatory if an administration fee is charged	
Plans & Photos	Requirement	Tips
All plans and photos	These should be submitted as appropriate to the proposed change	
Supporting Documents	Requirement	Tips
Schedule of proposed changes	Mandatory	This should contain a list of all proposed changes
Other supporting documents	These should be submitted as appropriate to the proposed change	

Non-Material Amendment - Click to start your application

To be used when making very minor amendments to a previously granted planning application, this could include changing the size of windows or materials to be used etc.

Please note that you cannot amend the red-line site boundary, or increase the footprint/height of the development via this application type, you would need to apply for a Minor Material Amendment or a new Planning Application.

Forms & Fees	Requirement	Tips
Application Form	Mandatory	
Application Fee*	Mandatory	You must submit supporting documents if applying for an exemption
		*Plus admin fee due for using the Planning Portal
Administration Fee	Mandatory if an administration fee is charged	
Plans & Photos	Requirement	Tips
All plans and photos	These should be submitted as appropriate to the proposed change	
Supporting Documents	Requirement	Tips
Schedule of proposed changes	Mandatory	This should contain a list of all proposed changes
Other supporting documents	These should be submitted as appropriate to the proposed change	

Permission in Principle - Click to start your application

Please see The Town and Country Planning (Permission in Principle) (Amendment) Order 2017 for validation requirements.

Prior Approval - Click to start your application

Please see The Town and Country Planning (General Permitted Development) (England) Order 2015 for validation requirements.

Works to Protected Trees - Click to start your application

To be used for works to trees protected by a Tree Preservation Order (TPO) or situated within a Conservation Area (CA) - You can check the status of trees within Sutton on our <u>Tree Map</u>.

Forms & Fees	Requirement	Tips
Application Form	Mandatory	
Plans & Photos	Requirement	Tips
<u>Photos</u>	Mandatory	Photos should show the trees in full view
Site Plan	Mandatory	The site plan should indicate all trees on site with labels corresponding to the schedule of work. The plan should show the trees in relation to nearby buildings and the nearest road junctions
Supporting Documents	Requirement	Tips
Arboricultural Report	Mandatory if reason for works on a TPO tree is condition	This should be a written report from an appropriate expert
Reason for Works	Mandatory for works to trees protected by a TPO	If listing condition of tree or damage caused by the tree please note accompanying reports will also need to be provided as evidence of claim
Schedule of Works	Mandatory	This should list the works being applied for each tree with corresponding labelling to the Site Plan
Subsidence or Structural Damage Report	Mandatory if reason for works on a TPO tree is alleged damage to property	This should be a written report from an appropriate expert