

Internal Schemes of Management

All directorates

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All directorates

For questions about the council's Schemes of Management, contact committee.services@sutton.gov.uk.

The functions delegated within this section apply to all directorates of the council. In all circumstances, where the matter is neither required by law nor the council's constitution to be decided by a specific officer of the council, all decisions may be taken by a more senior officer should this be considered appropriate. In such circumstances, consideration should be given to the complexity and sensitivity of the decision and, where appropriate, the response should be provided by a more senior officer.

Where a delegation is to be exercised by an officer threshold which does not exist within that directorate, the decision is automatically delegated to the next lower threshold of officer. For example, if a decision were to be taken by a Director and a directorate did not have a Director, the decision would automatically be delegated to a Head of Service within that directorate. Where this is the case, the Strategic Director may choose to implement a local arrangement in which a specific officer exercises these powers.

Some council officers hold statutory roles as part of their wider role. For example, the Strategic Director of Resources is also the council's Section 151 Officer. For ease of reference, this scheme uses the official job titles these officers hold rather than their statutory roles. Advice on the roles held by officers and their application in the council's Schemes of Management can be obtained from committee.services@sutton.gov.uk.

These cross-directorate matters have been authorised as follows:

Authorised by	Date last updated
Helen Bailey, Chief Executive	1 October 2025

Management of staff

Refer to the relevant HR policy for matters not delegated below

Decision	Officer Level
Approval of HR policies	Chief Executive; In consultation with the Corporate Management Team
Approval of Special Severance Payments (excluding maintained schools) in accordance with the Local Government Act 1999 and associated legislation under £20,000	Strategic Director of Resources; In consultation with Director of Human Resources and Organisational Development
Approval of Special Severance Payments (excluding maintained schools) in accordance with the Local Government Act 1999 and associated legislation between £20,000 and £99,999.99	Chief Executive; With the evidenced approval of the Leader of the Council
Approval of Special Severance Payments (excluding maintained schools) in accordance with the Local Government Act 1999 and associated legislation of £100,000 or more	N/A - decision of Council
Recruitment to any role above the budgeted establishment with the exception of maternity leave and long-term sickness cover	Strategic Director of Resources; In consultation with Director of Human Resources and Organisational Development
Appointment of any non-permanent workers outside the managed service provider contract	Strategic Director of Resources; In consultation with Director of Human Resources and Organisational Development
Initial escalation of employment policy matter	Any Head of Service within the Human Resources and Organisational Development Service
Second escalation of employment policy matter	Director of Human Resources and Organisational Development

Decision	Officer Level
Final escalation of employment policy matter	Chief Executive
Authorising recruitment of non-permanent workers through our managed service provider contract - up to the value of £99,999.99	Head of Service
Authorising recruitment of non-permanent workers through the managed service provider contract - £100,000 and above and for workers with tenure of more than 12 months	Director
Authorising recruitment of permanent/fixed term staff	Head of Service
Authorising overtime and expenses payments	Line Manager
Authorising loan payments (car, season ticket)	Head of Service
Authorising acting-up payments and honoraria	Strategic Director of Resources; In consultation with Director of Human Resources and Organisational Development
Approval of special leave and unpaid leave	Director
Approval of extension of sick pay	Strategic Director
Approval of carry over and buy-out of annual leave	Head of Service
Approval of career break applications	Director
Approval of applications for additional employment	Director
Service structure changes (including changes to the establishment)	Director
Approval of changed and new job descriptions	Director
Authorisation for retirement, redundancy and pension transfer	Strategic Director

Decision	Officer Level
Issue notices of termination – redundancy and retirement	Director

Finance

Community funds

Decision	Officer Level
Authorisation of Neighbourhood Grant spends across the council's Local Committee areas	Director Customers, Transformation and Strategy
Authorisation of Public Realm spends across the council's Local Committee areas	Refer to Article 10 of the council's constitution
Award of Events, Fees and Charges grants	Director Customers, Transformation and Strategy

Contracts

The council's Contract Standing Orders are [set out in the constitution](#): Section 4, Part 6 Contract Standing Orders. Contracts exceeding £1 million are awarded by the relevant committee. This authorisation levels set out the officer level at which actions following from a contract award can be made. For more information, please [contact Committee Services](#).

For advice on the exercising of financial powers, officers should contact their service's Finance Business Partner.

Budget Management

Authorisation of purchase orders

Decision	Officer Level
£500,000 and above	Strategic Director of Resources
£250,000 to £499,999.99	Strategic Director
£100,000 to £249,999.99	Director
£25,000 to £99,999.99	Head of Service
Less than £25,000	Budget Manager

Authorisation of invoices and payments

Decision	Officer Level
£500,000 and above	Strategic Director of Resources
£250,000 to £499,999.99	Strategic Director
£100,000 to £249,999.99	Director
£25,000 to £99,999.99	Head of Service
Less than £25,000	Budget Manager

Authorisation of expenditure

Requisitions

Decision	Officer Level
£100,000+	Director
Up to £99,999.99	Head of Service

Invoices: variations to requisitions

Decision	Officer Level
Variation of £100,000+	Director
Variation of £50 to £99,999.99 (or no requisition in agreed cases)	Head of Service
Variation of up to £49.99 providing this does not exceed 2.5% of the total value of the invoice	No authorisation threshold is set - variations of this value are automatically approved

Treasury and banking

Decision	Officer Level
Approval of changes to account mandates	Any Head of Service in Finance
Approval of applications for GPCs or similar	Any Head of Service in Finance
Approval of Treasury investments	Any Head of Service in Finance
Approval of borrowing within Treasury Management Strategy	Strategic Director

Capital and Revenue

Decision	Officer Level
Capital virements up to £250,000 within a Directorate	Director(s)
Capital virements over £250,000 and up to £1,000,000 within a Directorate	Directors AND Strategic Director of Resources OR Strategic Director of Resources
New capital schemes up to £1,000,000 that do not result in any increase to the Council's Capital Financing Requirement	Strategic Director of Resources
Revenue virements up to £1,000,000 within a Directorate	Director(s)
Revenue virements over £1,000,000 within a Directorate	Director(s) AND Strategic Director
Revenue virements up to £500,000 across Directorates	Strategic Directors
Revenue virements over £500,000 across Directorates	Strategic Directors AND Strategic Director of Resources OR Strategic Director of Resources
Debt write offs up to £99,999.99	Director of Finance
Debt write offs of £100,000 or higher	Committee decision following the recommendation of the Strategic Director of Resources
Approval of Treasury investments	Strategic Director of Resources
Approval of borrowing within Treasury Management Strategy	Strategic Director of Resources

Insurance

Decision	Officer Level
<p>To notify the Head of Insurance and Pensions Administration of variations to the extent or nature of risks to be insured to ensure the council complies with the Duty of Fair Presentation of the Insurance Act 2015 and associated legislation.</p> <p><i>Examples of such variations include: change in type of work undertaken, significant acquisitions, substantial work to property or change in its use, and other matters that materially increase the risk of loss, damage or injury that may give rise to a claim under the insurance policy.</i></p>	Head of Service
To notify the Head of Insurance and Pensions Administration (and also the Police where appropriate) any incident or loss, which could give rise to an insurance claim.	Head of Service

Governance and Communications

Authorisation of reports

The following authorisation thresholds apply to reports produced by the authority. However, when the Strategic Director considers it to be appropriate, or when an officer within their directorate holds a statutory role, another officer may authorise a report.

Decision	Officer Level
Reports to Corporate Management Team	Strategic Director
Reports to Strategic Team	Strategic Director
Reports to standing committees	Strategic Director
Reports to Local Committees	Director
Reports to regulatory committees	Head of Service
Financial implications in reports	Named Finance officer per report-writing guidance

Decision	Officer Level
Legal implications in reports	Named Legal officer per report-writing guidance
Equalities Impact Assessment accompanying a report (when applicable)	Director
Climate Impact Assessment (if decided this is not applicable, it must be agreed with the Climate Action team first)	Director

Authorisation of Decision Notices

The following authorisation thresholds apply to records of decisions taken by officers of the authority. These matters are formally set out in the council's constitution and the legislation but are outlined here for ease of reference.

Decision	Officer Level
Delegated Decision Notices	Strategic Director (decisions taken by officers below Strategic Director level do not ordinarily require the publication of a Delegated Decision Notice)
Express Decision Notices	By the officer to whom the decision has been expressly delegated, but no lower than Director
Urgent Decision Notices	Strategic Director Article 14.3 of the council's constitution
Shareholder Decision Notices	Appointed nominee of the Chief Executive Article 7.27 of the council's constitution
Financial implications in decision notices	Same as report-writing requirements
Legal implications in decision notices	Same as report-writing requirements
Equalities Impact Assessment accompanying a decision notice (when applicable)	Same as report-writing requirements

Communications

Decision	Officer Level
Responses to Members' Enquiries	Service Manager
Responses to formal requests for information, e.g.: <ul style="list-style-type: none"> • Freedom of Information Act 2000 • Environmental Information Regulations 2004 • Subject Access Requests 	Refer to relevant procedures. In the absence of such a procedure, the officer level of authorisation is: Head of Service
Responses to corporate complaints	Refer to Complaints Procedure
Press releases – with potential for political or reputational impact	Director
Press releases – without potential for political or reputational impact	Head of Service
Authorisation of social media posts on council channels	Team Manager; or any member of the council's Communications and Engagement team as delegated by the Head of Communications and Engagement

Legal

Decision	Officer Level
Appointment of counsel	Director
To arrange legal representation, as may be considered appropriate, for Council employees in cases of assault while carrying out their duties of employment	Director
Authorisation of statutory notices	Head of Service
Recommendations to prosecute offenders	Head of Service
To consult with the Strategic Director of Resources where the Council is requested to give an indemnity.	Head of Service

Environment, Housing and Neighbourhoods Directorate

Authorised by	Spencer Palmer, Strategic Director of Environment, Housing and Neighbourhoods
Date last updated	10 July 2025

Delegated Matter	Officer Level
<p>Environmental Health, Trading Standards Licensing and Environmental Enforcement:</p> <p>Authority to enforce/comply with legislation and undertake/implement all statutory functions.</p>	<p>Director, Highways Transport and Regulatory Services</p> <p>Operational Delegation to Group Manager, Regulatory Services</p>
<p>Highways and Transport:</p> <p>Authority to enforce/comply with legislation and undertake/implement all statutory functions.</p>	<p>Director, Highways Transport and Regulatory Services</p> <p>Operational Delegation to Group Manager, Highways and Transport Group</p>
<p>Housing Management:</p> <p>All housing management and repairs functions are delegated from Sutton Borough Council to the ALMO Sutton Housing Partnership.</p>	<p>Director of Housing</p> <p>Performance and policy manager</p> <p>Managing Director Sutton Housing Partnership</p>
<p>Housing Register and Allocation:</p> <p>Authority to enforce/comply with legislation and undertake/implement all statutory functions.</p> <p>Homelessness and Assessment:</p> <p>Authority to enforce/comply with legislation and undertake/implement all statutory functions.</p>	<p>Director of Housing</p> <p>Operational Delegation to:</p> <p>Head of Housing Assessment</p> <p>Head of Temporary Accommodation</p> <p>Assessment and Advice Manager</p> <p>Access and Options Manager</p> <p>Director of Housing</p> <p>Operational Delegation to:</p> <p>Head of Housing Assessment</p> <p>Head of Temporary Accommodation</p> <p>Assessment and Prevention Manager</p> <p>Access and Options Manager</p> <p>Wellbeing and Support Manager</p>

Delegated Matter	Officer Level
<p>Housing Development:</p> <p>Authority to comply with legislation and undertake/implement all statutory functions.</p>	<p>Director of Housing Head of Housing Regeneration, Enabling and Development Project Managers</p>
<p>Housing Enabling and Regeneration:</p> <p>Authority to comply with legislation and undertake/implement all statutory functions.</p> <p>All matters relating to Housing Enabling and regeneration grant funding applications, bids and agreements, surveyor contracts, inspections and housing acquisitions.</p>	<p>Director of Housing Head of Housing Regeneration, Enabling and Development Project Managers</p>
<p>All matters relating to Town and Country Planning, Development Control, Conservation Areas and Listed Buildings, Trees, Planning Enforcement and all other matters relating to the development of land, Community Infrastructure Levy Regulations, and Building Control</p> <p>To determine any appropriate action in respect of all matters EXCEPT for those reserved to the Planning Committee</p>	<p>Head of Service Team Planning Manager Deputy Planning Manager Principal Enforcement Officer</p>
<p>Parking and traffic management and enforcement:</p> <p>Authorisation to enforce/comply with legislation and undertake/implement statutory functions.</p> <p>Prosecution of blue badge misuse and delegations for new / changed parking dispensations</p> <p>Publication of parking statutory notices</p>	<p>Director</p>

People Directorate

Authorised by	Nick Ireland
Date last updated	24 March 2025

Delegated Matter	Officer Level
Authorisation of a Deprivation of Liberty order	Heads of Service within the Adult Social Care
Authorisation of statutory penalty notices for taking families to court for non-attendance at school	Director, Education and Targeted Family Support
Placement of a young person in 72-hour secure accommodation	Director for Children's Social Care and Safeguarding
Decisions relating to the membership of the Schools Forum, including but not limited to appointments of members, removals of members, and amendments to terms of office.	Director, Education and Targeted Family Support
Children's Service legal planning meetings	Head of Service
Children's Services - decisions to instigate care proceedings	Director of Children's Services/Director for Children's Social Care and Safeguarding
Children's Services - agency decision making - e.g child's care plan, including adoption, fostering, and permanent placements	Director for Children's Social Care and Safeguarding
Children's Services - application of exemptions for Foster Care placements	Director for Children's Social Care and Safeguarding
Passport applications for children looked after	Director for Children's Social Care and Safeguarding

Delegated Matter	Officer Level
Determination of schools admissions arrangements for community schools and coordinated admissions process for all schools	Director for Children's Services
Local Authority Governor Appointments and Removal of LA Governors from Governing Bodies	Director, Integrated Services and Community Safety
Decisions relation to School Governance issues including: Establishment of temporary governing body Appointment of Interim executive Board Suspend the delegated budget of a school Schools Causing Concern	Director, Integrated Services and Community Safety
Academy Conversions and Community Transfer Agreements	Director, Integrated Services and Community Safety

Community Safety

Delegated Matter	Officer Level
Chair of the London Borough of Sutton Channel Panel	Head of Community Safety
Compliance with statutory requirements set out under Part 5, Chapter 2, of the Counter Terrorism and Security Act 2015	Head of Community Safety

Deputyship and Appointeeships

Delegated Matter	Officer Level
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<p>Signatory for paperwork on:</p> <ul style="list-style-type: none"> • Applying for access to bank accounts • Applying for access to stocks • Writing and confirming changes in details • Applying for access to private pensions • Reports to the Office of the Public Guardian 	Deputy
Approval of paperwork for selling of property	Deputy
Approval of paperwork for house clearances	Deputy
Approval of paperwork to secure solicitors on behalf of appointeeships and deputyships	Deputy
Approval of release of petty cash to appointeeship	Deputy
Decision on the use of assets e.g. whether equity release is appropriate	Deputy
Power to swear oaths and affidavits on behalf of the Council and do all acts necessary to obtain a grant of representation (or to renounce probate or administration)	Deputy
Appointment of attorneys to deal with Grants of Representation in any and all estates in which the Deputy is appointed as the executor or is otherwise entitled to a grant of representation	Deputy
Approval of paperwork for court protection orders	Director Adult Social Care and Safeguarding

Public Health and Wellbeing Directorate

Authorised by	Imran Choudhury, Strategic Director of Public Health and Wellbeing
Date last updated	8 August 2025

Delegated Matter	Officer Level
Public Health	
<p>Health protection powers to local authorities for use where voluntary measures are insufficient and legal powers are needed to respond to infections or instances of contamination that present a significant risk to human health. The powers available to unitary and lower tier authorities include those that can be exercised by the local authority without judicial oversight.</p> <ul style="list-style-type: none"> • Public Health (Control of Disease) Act 1984 (as amended) • Health Protection (Local Authority Powers) Regulations 2010 • Health Protection (Part 2A Orders) Regulations 2010 	Director of Public Health
Provide the local authority's public health response as a responsible authority under the Licensing Act 2003, such as making representations about licensing applications	Director of Public Health or deputy
Registrars	
Proper Officer for Registration of Services Act 1953	Director of Wellbeing

Resources Directorate

Authorised by	Richard Simpson, Strategic Director of Resources
Date last updated	12 February 2025

Information, Legal and Finance

Delegated Matter	Officer Level
Senior Information Risk Owner	Strategic Director of Resources
Senior Responsible Officer for codes of practice and guidance related to the Regulation of Investigatory Powers Act 2000	Strategic Director of Resources
<p>To authorise officers in writing to represent the London Borough of Sutton in proceedings before the Magistrates Court for the grant and renewal of authorisations relating to:</p> <ul style="list-style-type: none"> • directed surveillance; • use of covert human intelligence sources; and • acquisition of communications data <p>Pursuant to S23A, S23B, S32A, and S32B of the Regulation of Investigatory Powers Act 2000.</p>	Strategic Director of Resources

Delegated Matter	Officer Level
<p>To authorise officers to:</p> <ul style="list-style-type: none"> • Represent the council in the magistrates court for all matters relating to the recovery of council tax and non domestic rates. including; • Institute or defend proceedings for the recovery of council tax, or in connection with liability for the application of discounts and exemptions, • Requests for statutory information for distraint, attachment of earnings orders, attachment of benefit orders, committal to prison, application and issue of charging orders, issue proceedings for winding up or bankruptcy. • Determine, impose and quash penalties on behalf of the charging authority. • Refund overpayments plus interest, as appropriate. 	Strategic Director of Resources
<p>To authorise officers to:</p> <ul style="list-style-type: none"> • Grant discretionary rate relief under section 47, Local Government Finance Act 1988 in accordance with any council agreed scheme. 	Strategic Director of Resources

Insurance

Delegated Matter	Officer Level
To assess the insurable risks of the council and ensure the council has adequate insurance cover	Strategic Director of Resources
To enter into new insurance service arrangements subject to the satisfactory outcome of a due diligence process	Strategic Director of Resources
To determine the decision-making authority limits for claims within the council's insurance programme	Head of Insurance and Pensions Administration

Management of property

Delegated Matter	Officer Level
To authorise the acquisition and disposal of land where value is less than £1m	Strategic Director of Resources
Agreeing licences or short term rental or membership of workspace (ie where a lease will not be created and there is no security of tenure)	Head of Asset Management
To authorise terms for new leases of seven years or more	Director of Assets
To authorise terms for new leases of less than seven years	Estates and Valuation Manager or Investment and Development Manager
To authorise rent reviews and lease negotiations in respect of property under the council's management	Estates and Valuation Manager or Investment and Development Manager
To authorise terms of licences, wayleaves	Estates and Valuation Manager or Investment and Development Manager
To approve routine requests for assignment or consents under the terms of an existing lease where normal tests for financial standing have been met	Estate Surveyor
To negotiate and agree terms for occupation of buildings not owned by the council	Director of Assets
To authorise the termination of leases or other interests in council land and property where desirable in delivery of approved projects or Asset Strategy	Director of Assets
To countersign property valuations and assessments	MRICS/FRICS Qualified Senior Estates Surveyor
To countersign any Right To Buy valuations or redetermination submissions	MRICS/FRICS Qualified Senior Estates Surveyor

Economic Growth

Delegated Matter	Officer Level
Submission of grant funding applications	Head of Service
Providing written confirmation of support for external partners bidding for grant funding	Head of Service
Approval for the council to enter into grant agreements or contracts where the council is the recipient of funding	Head of Service
Authorising the payment of non-statutory grants (e.g. to businesses or support organisations)	Head of Service